HH  
005120 USA/ETCH/IBSS

Page 1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jennifer Y SUN et al

Assignee: Applied Materials, Inc.

Title: YTTRUM OXIDE BASED SURFACE COATING FOR SEMICONDUCTOR IC PROCESSING VACUUM CHAMBERS

Serial No.: 10/075,967

Filed: 02/14/2002

Group Art Unit: 1763

Examiner: Unknown

Atty. Docket: 005120 USA/ETCH/IBSS

COPY OF PAPERS  
ORIGINALLY FILED

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 3/13/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Combined Declaration and Power of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (*8 documents of 4 pages each for a total of 32 pages*)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

Filing Fee - Original Patent Application [37 CFR 1.16(a)] (\$740.00)	
Fees for Claims:	
each independent claim in excess of 3 [37 CFR 1.16(f)] (\$84.00)	
each claim in excess of 20 [37 CFR 1.16(c)] (\$18.00)	
each multiple dependent claim(s) [37 CFR 1.16(d)] (\$280.00)	
SUB TOTAL	

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee (for other than small entity)</u>
<input type="checkbox"/> 1 month	\$110.00
<input type="checkbox"/> 2 months	\$400.00
<input type="checkbox"/> 3 months	\$920.00
<input type="checkbox"/> 4 months	\$1,440.00

If an additional extension of time is required please consider this a petition therefor.

☒ Late filing Fee \$130.00

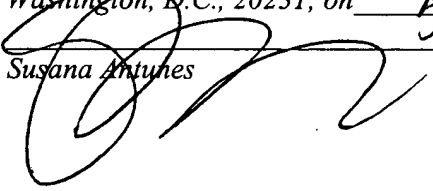
Total fees: \$130.00

- ☒ The Commissioner is hereby authorized to charge \$130.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

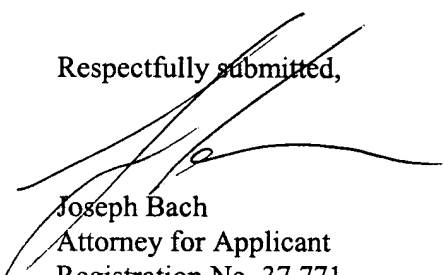
It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 2/14/2002. Please telephone the Susana Antunes at (408)-986-3923 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.  
Legal Affairs Department  
3050 Bowers Ave., MS/2634  
Santa Clara, CA. 95054

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on 5-9-02

  
Susana Antunes

Respectfully submitted,

  
Joseph Bach  
Attorney for Applicant  
Registration No. 37,771

#35/13



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

MAY 24 2002

3048

APPLICATION NUMBER	FILING/SCRIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/075,967	02/14/2002	Jennifer Sun	APM-4600

 STALLMAN & POLLOCK LLP  
 Suite 290  
 121 Spear Street  
 San Francisco, CA 94105

RECEIVED

MAR 18 2002

STALLMAN &amp; POLLOCK LLP

CONFIRMATION NO. 9245

FORMALITIES LETTER



\*OC000000007625636\*

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

 05/13/02 - Response  
 10/13/02 - 7 Month  
 Final Dead

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/28/2002 SDENBOR1 00000024 501074 10075967

01 FC:105

130.00 CH

 COPY OF PAPERS  
 ORIGINALLY FILED